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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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KIM et al.

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Title:

METHOD FOR FORMING QUANTUM DOTS USING METAL THIN

FILM OR METAL POWDER

CERTIFICATE UNDER 37 CFR 1.10

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Name: Teresa Anderson

PRELIMINARY AMENDMENT

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In connection with the above-identified application filed herewith, please enter the following preliminary amendment:

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks begin on page 5 of this paper.